# Community Mental Health of Ottawa County

# Recipient Rights Training for Respite Providers

Briana Fowler, Director of Recipient Rights

Email: <u>bfowler@miottawa.org</u>

Telephone #: 616.393.5763

# Purpose of Recipient Rights Training

The purpose of Recipient Rights Training is to assure, protect and promote the basic <u>human</u> dignity to which all recipients are entitled.

Protecting a recipient is always your first and most important responsibility.

## Michigan Mental Health Code Chapter 7 "Rights of the Recipients of Mental Health Services"

- Recipient Rights training is derived from the Michigan Mental Health Code, Chapter 7, Rights of Recipients of Mental Health Services.
  - All recipients entering the mental health system must be notified of their <u>legal rights</u>.
  - All recipients receiving mental health services must be notified of their legal rights annually.
  - Community Mental Health (<u>CMH</u>) is legally responsible for providing interpretation accommodations under the ADA and Civil Rights laws for recipients of mental health services (i.e. during an intake assessment, psychiatric evaluation, medication review, etc.).

#### What are "Recipient Rights"?

- A 'Right' is defined as: 'That which a person is entitled to have, to do, or to receive from others, within the limits prescribed by <u>law</u>'. Therefore, in order to qualify as a 'Right', the right must be defined by <u>law</u>, and have a legal means of protecting it.
- Remember, the recipients you are paid to support retain their civil rights in addition to their legal rights, when they are receiving mental health services.

## Code Protected Rights

- The following slide will outline ALL the code protected rights recipients of mental health services are entitled per the Michigan Mental Health Code.
  - For Example:
    - The right to be free from Abuse and Neglect
    - Civil Rights
    - The right to have visitors, send and receive mail, and make and receive telephone calls.
    - The right to privacy, good lighting, an environment free from unpleasant smells.
    - The right to be treated with dignity and respect.

MENTAL HEALTH RIGHTS - CATEGORIES								
ABUSE AND NEGLECT		4980	Objection to Hospitalization (minor)	FREEDOM OF MOVEMENT			SUITABLE SERVICES	
7221	Abuse, Class I	7050	Second Opinion - Denial of Services AR 7005	7441	Restrictions/Limitations	1708	Dignity and Respect	
72221	Abuse, Class II - Non-Accidental Act	С	MUNICATION AND VISITS 7400		Restraint AR 7243	7003	Informed Consent	
72222	Abuse, Class II - Unreasonable Force	7261	Visits	7420 Seclusion AR 7243		7029	Information on Family Planning	
72223	Abuse, Class II - Emotional Harm	7262	Contact with Attorneys or others Regarding Legal Matters	PERSONAL PROPERTY		7049	Treatment by Spiritual Means	
72224	Abuse, Class II - Treating as Incompetant	7263	Access to Telephone/Mall	7267 Access to Entertainment Materials, Information, News AR 7139		7080	MH Services Suited to Condition	
72225	Abuse, Class II - Exploitation	7264	Funds for Postage, Stationery, Telephone	7281 Possession and Use		7100	Physical and Mental Exams	
7223	Abuse, Class III	7265	Written and Posted Limitations, if Established	7282 Storage Space		7130	Choice of Physician or Mental Health Professional	
7224	Abuse Class I - Sexual Abuse	7266	Uncensored Mail	7283 Inspection at Reasonable Times		7140	Notice of Clinical Status/Progress	
72251	Neglect, Class I		CONFIDENTIALITY AR 7051	7285 Exclusions		7150	Services of Mental Health Professional	
72252	Neglect, Class I - Fallure to Report	7481	Disclosure of Confidential Information	7286	Limitations 1728(4)	7160	Surgery	
72261	Neglect, Class II	7485	Withholding of Information (Includes recipient access to records	7287	Receipts to Recipient and to Designates Individual	7170	Electro Convulsive Therapy (ECT)	
72262	Neglect, Class II - Fallure to Report	7486	Correction of Record	7288	Walver	7180	Psychotropic Drugs	
72271	Neglect, Class III	7487	Access by P & A to Records	7289	Protection	7190	Notice of Medication Side Effects	
72272			501 Privileged Communication		IOTOGRAPHS, FINGERPRINTS	TREATMENT ENVIRONMENT		
	CIVIL RIGHTS AR 7009		FAMILY RIGHTS	7241	Prior Consent	7081	Safe Environment	
7041	CIVII Rights: Discrimination, Accessibility, Accommodation	7111	Family Dignity & Respect	7242	Identification	7082	Sanitary/Humane Environment	
7044	Religious Practice	7112	Receipt of General Education Information AR 7012	7243	Objection	7086	Least Restrictive Setting	
7045	Voting	Voting 7113 Opportunity to Provide Information		7244	Release to Others/Return	TR	REATMENT PLANNING AR 7199	
7047	Presumption of Competency	Presumption of Competency FINANCIAL ISSUES Per Agency Policy		7245	Storage/Destruction	7121	Person-Centered Process	
7284	Search/Selzure AR 7009	7301	Safeguarding Money	RI	GHTS PROTECTION SYSTEM	7122	Timely Development	
ADMISSION/DISCHARGE		7302	Facility Account	7060	7060 Notice/Explanation of Rights AR 7011		Requests for Review	
4090	Second Opinion - Denial of Hospitalization	7303	Easy Access to Money In Account	7520	Fallure to Report	7124	Participation by Individual(s) of Choice	
4190	Termination of Voluntary Hospitalization (adult)	7304	Ability to Spend or Use as Desired	7545	Retallation/Harassment	7125	Assessment of Needs	
4510	Involuntary Admission Process	7305	Delivery of Money upon Discharge	7760	Access to Rights System	0000	NO RIGHT INVOLVED	
4630	Independent Clinical Examination	7360	Labor & Compensation	7780	Complaint Investigation Process	0001	OUTSIDE PROVIDER JURISDICTION	
4030								

# Unlimitable Rights: The following rights cannot be limited or restricted in any way:

- Freedom <u>from</u> Abuse and Neglect
- Treatment Suited to Condition
- Freedom from Restraint and Seclusion
- Dignity and respect
- Safe, Sanitary, Humane Treatment Environment
- Individual Plan of Service (IPOS) developed using a Person-Centered Planning process
- Contact with Attorneys regarding legal matters

Rights may be modified through restrictions/ <u>limitations but must be documented in the</u> <u>Individual Plan of Service (IPOS) and/or a</u> <u>recipient's Behavior Treatment Plan (BTP):</u>

- Some examples of rights that may be limited/restricted are as follows:
  - Communication by mail, phone, visits
  - Personal property
  - Money
  - Freedom of movement
  - <u>Choice</u> of physician or Mental Health Professional
- A recipient has the right to live in the <u>least restrictive</u> environment necessary to achieve appropriate treatment.
- It is a Federal offense to open a recipient's mail without their permission/written consent.

## Recipient Rights Complaint Forms

- Anyone may file a Recipient Rights
   Complaint on behalf of a recipient, at any time.
  - i.e. parent, guardian, staff, community member, friend, etc.
- The following form must be used when there is reasonable cause to believe a recipient's rights have been violated by a paid staff.
  - REMEMBER: ABUSE AND NEGLECT ALLEGATIONS MUST BE REPORTED TO THE OFFICE OF RECIPIENT RIGHTS IMMEDIATELY!!!

### Recipient Rights Complaint Form

(available electronically at www.miottawa.org/cmh)

#### RECIPIENT RIGHTS COMPLAINT COMPLAINT NUMBER: CATEGORY: IF YOU BELIEVE THAT ONE OF YOUR RIGHTS HAS BEEN VIOLATED YOU (OR SOMEONE ON YOUR BEHALF) MAY USE THIS FORM TO MAKE A COMPLAINT. A RIGHT'S OFFICER WILL REVIEW THE COMPLAINT AND MAY CONDUCT AN INVESTIGATION. KEEP THE YELLOW COPY FOR YOUR RECORDS. SEND THE OTHER COPY TO THE RIGHT'S OFFICE AT: COMMUNITY MENTAL HEALTH OF OTTAWA COUNTY RECIPIENT RIGHT'S OFFICE 12265 JAMES STREET HOLLAND, MI 49424 COMPLAINANT'S NAME: RECIPIENT'S NAME: (If different from complainant) WHERE DID THE ALLEGED VIOLATION(S) HAPPEN? PHONE NUMBER COMPLAINANT'S ADDRESS: WHEN DID IT HAPPEN? (Date and Time) WHAT RIGHT WAS VIOLATED? ō DESCRIBE WHAT HAPPENED: MENTAL HOW WOULD YOU LIKE THE PROBLEM CORRECTED? COMMUNITY COMPLAINANT'S SIGNATURE: NAME OF PERSON ASSISTING COMPLAINANT: DATE:

WHITE COPY - SENT TO THE BIGHT'S OFFICE

YELLOW COPY - KEEP FOR YOUR RECORDS

#### Confidentiality

- **Confidentiality** is a right of every recipient of mental health services. Everyone involved with the delivery of services must work to maintain and protect this right whether the information is written or unwritten.
- All information in a recipient's record, and any information about a recipient discovered while providing services, is <u>confidential</u>.
- Each recipient and/or parent/guardian, must be informed about the law regarding confidentiality.
- A record of any information disclosed must be kept. The record must indicate what information was released, to whom, when and why it was released.

## Confidentiality

- Written consent from the recipient and/or parent/guardian must be obtained before any confidential information can be given out verbally or in writing.
- Respecting confidentiality means you can only discuss what happened with a recipient while at work with people who have a need to know the information.
- Do NOT let anyone pressure you into giving out confidential information.
- There is no breach of confidentiality when discussing recipients (i.e. using first and last names) with the Office of Recipient Rights.

## Examples of how you may unknowingly violate confidentiality . . .

- Talking about recipients, by name, to others outside of work, who do not have a right to know the information.
- Giving information over the phone to persons who say they are family/relatives/friends and there is not a Release of Information for that particular person.
- Taking photographs or videotapes of recipients without permission (i.e. for use in brochures, pictures to hang on the wall in the home, on personal cell phones, etc.)
- Listening in on a recipient's phone calls.
- Discussing information with other mental health or service professionals who are not authorized to receive the information or have the right to know.
- Leaving identifiable information about a recipient in a public area.

#### **HIPAA**

#### (Health Insurance Portability and Accountability Act of 1996)

- HIPAA (Health Insurance Portability and Accountability Act of 1996) includes
   Protected Health Information (PHI) and gives patients more control over their health information and sets boundaries on the use and release of health records.
- What is "Protected Health Information"?
   "Protected health information" is any health information or mental health information maintained that is individually identifiable, including genetic information.
- "Individually identifiable health information" means any information, whether oral or recorded in any form or medium, including demographic information collected from an individual, that:
  - 1. Is created or received by a health care provider, mental health care provider, a health plan, or health care clearinghouse; and,
  - 2. Relates to the past, present, or future physical or mental health or condition of an individual, the provision of health care to an individual, or the past, present, or future payment for the provision of health care to an individual.

## Incident Reports

Incident Reports are a way to assure all unusual events involving recipients are documented.

The following slide outlines unusual incidents when an Incident Report <u>must</u> be completed by staff. The list may not include all examples of unusual incidents staff will encounter, but it is a good starting point.

#### Incident Reports MUST be written for the following:

- The death of a recipient
- Any injury of a recipient, explained or unexplained
- An unusual medical problem (i.e. trip to the med center, urgent care, or hospitalization)
- Environmental emergencies or incidents that could have caused an injury, but did not do so (i.e.; fire alarm went off and residents had to evacuate, tornado warning alert and residents had to go to tornado area of home, chemical spill, flooding in home, etc.)
- Problem behaviors not addressed in a Behavior Treatment Plan (BTP) behaviors (i.e. harm to self, harm to others, verbal aggression, property damage, use/possession of weapons, etc.)
- Inappropriate sexual acts (i.e. peer to peer touching, inappropriately touching staff, etc.)
- Medication Errors (Missed dose, lower)

dose, early dose, late dose, wrong person, wrong route)

- Medication Refusals...Remember, recipients <u>CANNOT</u> be forced to take medications
- Suspected criminal offenses involving the recipient (i.e. arrests, convictions, probation violations, detention, etc.)
- Use of physical intervention...(PI) REMEMBER....You must;

Identify the imminent risk (i.e. harm to self, staff or others)

Identify what less restrictive interventions were tried first and were unsuccessful

Identify the approved PI technique used

Identify how long (< 1 minutes, 5 minutes, etc.) the recipient was held in the approved PI technique

- Significant events in the community involving a recipient
- Traffic accident, fire or police involvement when a recipient is present

## Incident Report Form

The following slide is an example of how an Incident Report should be completed by staff.

If you would like to view/utilize this sample Incident Report after training please visit <u>www.miottawa.org/cmh</u>

### Writing an Incident Report

(available electronically at www.miottawa.org/cmh

#### INCIDENT REPORT

When you wrote report	REPORT TIME Time & AM /PM	REPORTING AGE: Your Agency: ex CMH, HHI, Kandi etc.			you are repo ions' AFC, ir u SE, MOKA	idian Tralis Endeavors, etc.
CONSUMER NAME First and Last Name of Co	nsumer			GENDER M/F	Age or	Date of Birth
WHEN DID YOU DISCOVER INCIDENT Be Exact	「(Date & Time) □ AM □ PM	WHEN DID INCIDE	NT HAPPEN? Date &	WHERE DID INCID	ENT HAPPEN	Specific location
CONSUMER(S) INVOLVED	DAM DPM	111110	DAM DEM			
Only use other consumer's	s initials or case	numbers				
EMPLOYEE(\$) INVOLVED AND/OR PR	RESENT					
First & Last names of Emp	oloyees					
- Individuals involved and	how they were i	nvolved				
Details of the Incident. W			w, I heard)			
- Be brief, state the facts, u	use descriptive l	anguage				
- Describe the behavior, m					out placin	g or
generalizations about the						
<ul> <li>On medication errors or i been given, time it was</li> </ul>						snould have
- If PI was used.	given, or why h	ot given, and p	erson responsibl	e ioi error (IT K	nownj	
1.) Identify the imminen	t risk (i.e. harm	to self. staff or	others), Property	/ Damage is no	t imminer	nt risk
2.) Identify what less re						
3.) Identify the approve						
4.) Identify how long the	e consumer was	held in the ap	proved PI technic	ue.		
ACTION TAKEN BY STAFF						
- Was BTP followed?						
- Intervention to prevent fu	irther or more s	erious iniury				
- Who was notified and the			, RRO, Doctor, n	urse)		
- Immediate treatment give		n. Be specific.	Do not say 'First	t Aid <sup>°</sup>		
	□YE\$ □NO		REPORTING PERSON'S			DATE
Check one			Your Name (legit	nej		Signed
REVIEW/COMMENTS FROM SC/CSM/	CC:					
CM/SC gives additional in	oformation if not	addrage and bu		saially on pron	ram alane	
- CM/SC gives additional in		addressed by	supervisor, espe	ecially on prog	ram plans	DATE
		SIGNATURE	supervisor, espe	ecially on prog	ram plans	
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CMHOC - Incident Report - 070 - MI/DD - 10/25/06

Case number:

## Incident Reports

(available electronically at www.miottawa.org/cmh)

	REPORT TIME	REPORTING AGEN	CY	REPORTING PRO	GRAM/HOME	11
CONSUMER NAME				GENDER	AGE/DO	OB
WHEN DID YOU DISCOVER INCIDEN	NT (Date & Time)	WHEN DID IT HAPP	EN (Date & Time)	WHERE DID INCID	ENT HAPPEN	(Specific location)
CONSUMER(S) INVOLVED	ЦАМ ЦРМ		ПАМ ПРМ			
EMPLOYEE(S) INVOLVED AND/OR P	RESENT					
EXPLAIN WHAT HAPPENED						
EAFCAIN WHAT HAFFENED						
ACTION TAKEN BY STAFF						
PHYSICAL INJURY APPARENT?	□ YES □ NO	F	EPORTING PERSON'S	IGNATURE & TITLE		DATE
						1
REVIEW/COMMENTS FROM SC/CSM/	ICC:					
ASSIGNED SC/CSM/CC NAME: (Print i	-14-3	SIGNATURE				
TOUGHED SCIESWICE NAME. (FINE)	Liedity)	SIGNATURE				DATE
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## Abuse and Neglect

 The following slides outline the different classifications of Abuse and Neglect.

- Abuse is what you DO to a recipient.
- Neglect is what you FAIL TO DO for a recipient.
  - REMEMBER: ABUSE AND NEGLECT ALLEGATIONS MUST BE REPORTED TO THE OFFICE OF RECIPIENT RIGHTS IMMEDIATELY!!!

## Abuse: What you DO to a recipient

#### Abuse Class I

 A non-accidental act or provocation of another to act which caused or contributed to the <u>death</u>, or <u>sexual</u> abuse of, or <u>serious physical harm</u> to a recipient

## Abuse: What you DO to a recipient

#### Abuse Class II

- A non-accidental act or provocation of another to act that caused or contributed to <u>non-serious physical harm</u> to a recipient.
- A non-accidental act or provocation or another to act that caused or contributed to <u>emotional harm</u> to a recipient.
- An action taken on behalf of a recipient by a provider who assumes the recipient is incompetent, even though a guardian has not been appointed, that results in substantial economic, material, or emotional harm to the recipient
- <u>Exploitation</u> means an action by an employee, volunteer, or agent of a provider that involves the misappropriation or misuse of a recipient's property or funds for the <u>benefit of an individual</u> or individuals other than the recipient
- The use of <u>unreasonable force</u> on a recipient <u>with or without</u> apparent harm

## Abuse: What you DO to a recipient

#### Abuse Class III

 Use of language or other means of communication to degrade, threaten, or sexually harass a recipient

## Examples of Abuse

- Any sexual contact with a recipient
- Hitting, slapping, biting, pinching, poking, pushing, or kicking a recipient
- Use of a weapon on a recipient
- Swearing at, using foul language, racial or ethnic slurs, or other means of communication to degrade, or threaten a recipient
- Sexually harassing a recipient
- Making remarks which could be emotionally harmful to a recipient
- Allowing a recipient to purchase your lunch, coffee, gas, etc. using their personal funds
- Using physical management without apparent harm (imminent risk: harm to self or others)

#### **Neglect**: What you **FAIL** to do for a recipient

#### Neglect Class I means either:

- (i) Acts of commission or *omission* by an employee, volunteer, or agent of a provider that result from noncompliance with a standard of care or treatment required by law, rules, policies, guidelines, written directives, procedures, or individual plan of service that cause or contribute to *serious physical harm* to or *sexual abuse* or a recipient or
- (ii) <u>The failure to report apparent or suspected Abuse</u> <u>Class I or Neglect Class I of a recipient</u>

#### **Neglect**: What you **FAIL** to do for a recipient

#### Neglect Class II – means either:

- (i) Acts of commission or *omission* by an employee, volunteer, or agent of a provider that result from noncompliance with a standard of care or treatment required by law, rules, policies, guidelines, written directives, procedures, or individual plan of service that cause or contribute to *non-serious physical harm* or *emotional harm* to a recipient or
- (ii) The failure to report apparent or suspected Abuse Class II or Neglect Class II of a recipient

#### **Neglect**: What you **FAIL** to do for a recipient

#### Neglect Class III – means either:

- (i) Acts of commission or *omission* by an employee, volunteer, or agent of a provider that result from noncompliance with a standard of care or treatment required by law, rules, policies, guidelines, written directives, procedures, or individual plan of service that either *placed or could have placed* a recipient at *risk of physical harm or sexual abuse* or
- (ii) <u>The failure to report apparent or suspected Abuse</u> <u>Class III or Neglect Class III of a recipient.</u>

### Examples of Neglect

- Leaving a recipient, who is not able to care for him/herself, unattended.
- Not providing the proper medication or the correct dosage of a medication.
- Not feeding a recipient according to their feeding guidelines
- Speeding with a recipient in the vehicle.
- Texting and driving with a recipient(s) in the vehicle.
- Being aware of, or suspecting an abusive or neglectful situation, and not <u>IMMEDIATELY</u> reporting it to the Office of Recipient Rights.
- Not following a recipient's IPOS, PT, OT, Health Care Plan, Speech, Behavior Plan, etc.
- Violation of a policy/procedure which placed or could have place a recipient at risk of harm.

# Summary of Abuse and Neglect Reporting Requirements

The poster on the following slide outlines what agencies (i.e. Office of Recipient Rights, Child Protective Services, Adult Protective Services, and the Police) need to be notified immediately of specific abuse and neglect allegations.

## Summary of Abuse and Neglect Reporting Requirements

(available electronically at www.miottawa.org/cmh

	REQUIREMENTS	FOR REPORTING A	BUSE AND NEGLE	CT
	Section 722, Public Act 258 of 1974,	Public Act 238 of 1975	Public Act 519 of 1982	Section 723, Public Act 258 of 1974 as amended
	(Mental Health Code-Recipient Abuse)	(Child Protection Law)	(Adult Protective Services Law)	(Mental Health Code-Criminal Abuse)
WHERE is	The Office of Recipient Rights www.mi.gov/recipientrights	ADULT OR CHILDRENS PROTECTIV 855-44-	Police MSP 517-332-2521	
the report made?	Michigan Department of Community Health Community Mental Health Service Programs Licensed Private Psychiatric Hospitals or Units	Michigan Department of Human Services (DHS)	Michigan Department of Human Services (DHS)	State Police County Sheriff Local Police Department
WHAT must be reported?	Sexual, Physical, Emotional or Verbal Abuse, Neglect, Serious Injury, Death, Retaliation or Harassment	Sexual, Physical or Mental Abuse, Neglect, Sexual Exploitation	Sexual, Physical or Mental Abuse, Neglect, Maltreatment, Exploitation	Assault (other than patient-patient assault/battery), Criminal Sexual Abuse, Homicide, Vulnerable Adult Abuse, Child Abuse
WHO is required to report?	All employees, contract employees, or volunteers of Michigan Department of Community Health, Community Health Services Programs, Licensed Private Psychiatric Hospitals or Units	Physicians, nurses, coroners, medical examiners, dentists, licensed emergency care personnel, audiologists, psychologists, social workers, school administrators, teachers, counselors, law enforcement officers, and child care providers.	Any person employed by an agency licensed to provide, anyone who is licensed, registered, or certified to provide health care, education social, or other human services, law enforcement officers and child care providers.	All employees, contract employees of: Michigan Department of Community Health, Community Mental Health Services Programs, Licensed Private Psychiatric Hospitals or Units, all mental health professionals.
WHAT is the CRITERIA for reporting?	You must report if you: Suspect a recipient has been abused or neglected or any allegations of abuse or neglect made by a recipient.	You must report if you: Have reasonable cause to suspect a child has been abused, neglected, or sexually exploited.	You must report if you: Have reasonable cause to suspect or believe an adult has been abused, neglected, exploited or maltreated.	You must report if you:  Suspect a recipient or vulnerable adult has been abused or neglected, sexually assaulted, or if you suspect a homicide has occurred. You do not have to report if the incident occurred more than one year before your knowledge of it.
WHEN must the report be made and in what format?	A verbal report must be made immediately.  A written report on an incident report form must be made before the end of your shift.	A verbal report must be made immediately. A written report on DHS form 3200 must be made within 72 hours.	A verbal report must be made immediately. A written report at the discretion of the reporting person.	A verbal report must be made immediately. A written report must be made within 72 hours of oral report (330.1723)
TO WHOM are reports made?	To your immediate supervisor <b>and</b> to the Recipient Rights Office at your agency or hospital	Report to Protective Services Reporting Hotline 855-444-3911	Report to Protective Services Reporting Hotline 855-444-3911	The law enforcement agency for the county or city in which the alleged violation occurred or the State Police. A copy of the written report goes to the chief administrator of the agency responsible for the recipient.
If there is more than one person with knowledge must all of them make a report?	Not necessarily. Reporting should comply with the policies and procedures set up by each agency.	Someone who has knowledge must report or cause a report to be made in the case of a school, hospital or agency, one report is adequate.	Everyone who has knowledge of a violation or an alleged violation must make a report. DHS has typically accepted one report from agencies.	Someone who has knowledge must report or cause a report to be made.
Is there a penalty for failure to report?  YES	Disciplinary action may be taken and you may be held civilly liable.	You may be held civilly liable. Failure to report is also a criminal misdemeanor.	You may be held civilly liable and have to pay a \$500 fine.	The law states that failure to report or false reporting is a criminal misdemeanor.
Is it necessary to report to more than one agency? YES	Each of these laws requires that the designated. There are several references in each law indicating	Michigan Department Of Community Health		
Are there other agencies to which a report can be made?	The Bureau of Health Systems Call the The Michigan Attorney General's Office ATTORNEY GENERAL 2	M DCH		
YES		censing is responsible for investigating abuse or HS-BCAL Complaint Intake Unit 1-866-856-0126	neglect in a licensed foster care home.	